

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.  
032566-011APPLICATION NO.  
09/881,684APPLICANT  
Otto Z. ZHOUFILING DATE  
June 18, 2001GROUP  
1741

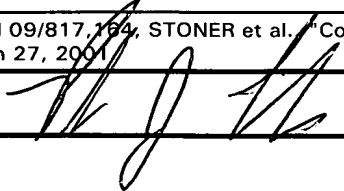
## U.S. PATENT DOCUMENTS

Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)
	Number	Kind Code (if known)		
	6,280,697		Zhou et al.	08-28-2001

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
PL	Suzuki et al., "Work Functions and Valence Band States of Pristine and Cs-intercalated Single-Walled Carbon Nanotube Bundles", Appl. Phys. Lett., Vol. 76, No. 26, pp 407-409, June 26, 2000			
PL	Wadhawan, A., et al., "Effects of Cs Deposition in the Field-Emission Properties of Single-Walled Carbon Nanotube Bundles", Appl. Phys. Lett., Vol. 78, No. 1, pp 108-110, January 1, 2001			
PL	Bower, C., et al., "Plasma Induced Conformal Alignment of Carbon Nanotubes on Curved Surfaces", Appl. Phys. Lett., Vol. 77, No. 6, pp 830-832, August 7, 2000			
PL	Journet, C., et al., Nature, Vol. 388, p. 756 (1977)			
PL	USSN 09/296,572, BOWER et al., "Device Comprising Carbon Nanotube Field Emitter Structure and Process for Forming Device", April 22, 1999			
PL	USSN 09/351,537, BOWER et al., "Device Comprising Thin Film Carbon Nanotube Electron Field Emitter Structure", July 1, 1999			
PL	USSN 09/376,457, BOWER et al., "Method for Fabrication of Patterned Carbon Nanotube Films", August 18, 1999			
PL	USSN 09/594,844, ZHOU et al., "Nanostructure-Based High Energy Capacity Material", June 15, 2000			
PL	USSN 09/679,303, ZHOU et al., "X-Ray Generating Mechanism Using Electron Field Emission Cathode", October 6, 2000			
PL	USSN 09/817,194, STONER et al., "Coated Electrode with Enhanced Electron Emission and Ignition Characteristics", March 27, 2001			
Examiner Signature				Date Considered 8-18-02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.